

SAT problem.

Boolean variables  $\{x_1, \dots, x_n\}$   $x_i \in \{0, 1\}$

Formula in CNF over variables: conjunction of clauses; clause is a disjunction of literals; literal either variable  $x_i$  or negation  $\neg x_i / \bar{x}_i / \neg x_i$ .

$F = \{ \underline{y}vz, \underline{y}v\bar{z}, \underline{x}v\bar{y}vz, \underline{x}v\bar{y}v\bar{z} \}$ . set all vars to true.

Goal: find 0/1 assignment to variables st every clause is satisfied.

$G = \{ \underline{y}vz, \underline{y}v\bar{z}, \underline{x}v\bar{y}vz, \underline{x}v\bar{y}v\bar{z}, \bar{x}v\bar{y} \}$ . not satisfiable.

Brute-force algorithm:

for  $\alpha \in \{0, 1\}^n$   
test if  $F \wedge \alpha$  is satisfied

runtime:  $2^n \cdot m \cdot n$ .

Heuristic algorithms.

DPLL algorithm (Davis, Putnam, Logemann, Loveland '60s)

smart backtracking

$F = \{ \underline{y}vz, \underline{y}v\bar{z}, \underline{x}v\bar{y}vz, \underline{x}v\bar{y}v\bar{z} \}$ .

what if we set  $y=0$ ?

$z=1$ .

$\bar{y}$

$\bar{z}$

state: partial assignment  $\alpha \subseteq \{0, 1, * \}^n$   
+ decision/forced choice.  $\leftarrow$  not assigned yet.

$\alpha = *^n$

while not solved:

if  $F \wedge \alpha$  is contradictory: backtrack().

$x_i^0 = \bar{x}_i$

if  $F \wedge \alpha$  has unit clause: set  $x_i = b$

$x_i^1 = x_i$

otherwise  $x_i = b$  (decision)  $\leftarrow x_i^b$

starting from  $\alpha = (x_1 = b_1, x_2 = b_2, \dots)$  back.

backtrack: find first decision, flip value, mark as forced.

$F = \{ \underline{y}vz, \underline{y}v\bar{z}, \underline{x}v\bar{y}vz, \underline{x}v\bar{y}v\bar{z} \}$ .

$\rightarrow \underline{y}vz, \underline{y}v\bar{z}, \bar{y}vz, \bar{y}v\bar{z}$ .

$z, \bar{z}, /, /$

$/, !!$

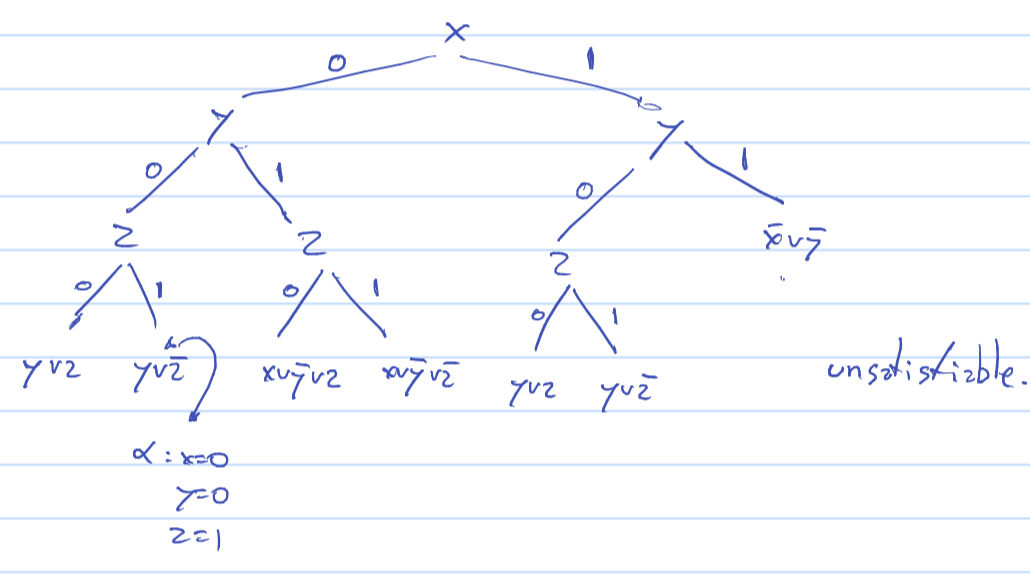
$/, /, z, \bar{z}$

$/, /, /, !!$

$\underline{y}vz \quad \underline{y}v\bar{z} \quad / \quad /$

$/ \quad /$

$G = \{ \underline{y}vz, \underline{y}v\bar{z}, \underline{x}v\bar{y}vz, \underline{x}v\bar{y}v\bar{z}, \bar{x}v\bar{y} \}$ .



Running time:

if  $F$  is sat and we are lucky: running time is  $n \cdot \text{poly}(n)$

on unsatisfiable formulas: running time  $\geq$  size of search tree.

Resolution proofs.

worst case: search tree is  $\leq 2^n$

$\exists$  formulas st. is  $\geq 2^{\Omega(n)}$

$2^{n/4}$

Resolution rule

$$\frac{xvyz \quad yv\bar{z}t}{xvyt.}$$

$z=0 \Rightarrow xvy$

$z=1 \Rightarrow yvt$

$$\frac{Cv\bar{x} \quad Dv\bar{x}}{CvD.}$$

$z=0 \vee z=1 \Rightarrow (xvy) \vee (yvt)$   
 $xvyt.$

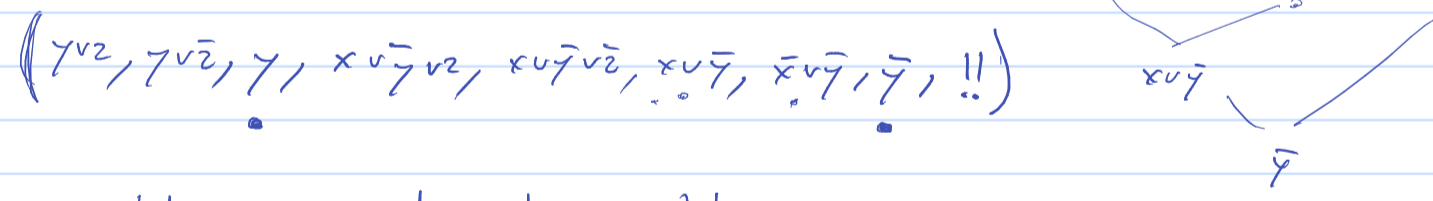
Resolution proof of unsatisfiability. / resolution refutation / of formula  $F$ :

sequence of lines  $C_1, \dots, C_L$  each line is a clause.

either from  $F$   
or by applying resolution rule.

$C_L = !!$  (clause without any literals).

$G = \{ \underline{y}vz, \underline{y}v\bar{z}, \underline{x}v\bar{y}vz, \underline{x}v\bar{y}v\bar{z}, \bar{x}v\bar{y} \}$ .



thm: resolution is sound and complete

$\rightarrow$  every unsat formula has a resolution proof.  
 $\rightarrow$  no satisf. formula has a resolution proof.

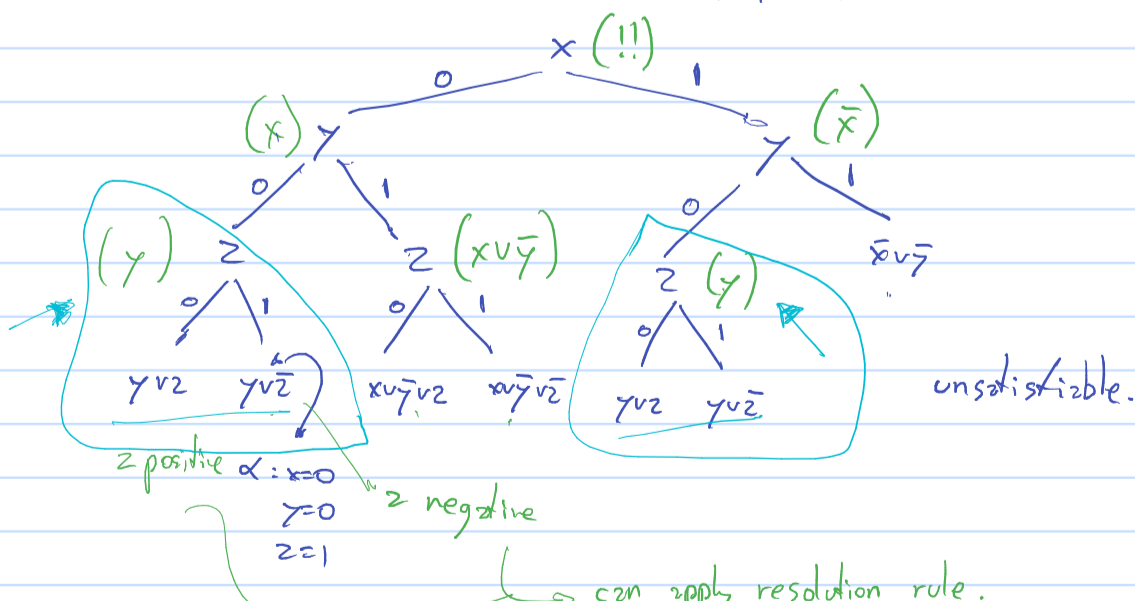
worst case: length is  $\leq n \cdot 2^n$

thm: (Haken '85) exist family of formulas on  $n$  variables,  $\text{poly}(n)$  clauses

st shortest resolution proof of  $F_n$  has length  $2^{n^{\Omega(1)}}$ .

(Unguhart '88)  $2^{\Omega(n)}$ .

$G = \{ \underline{y}vz, \underline{y}v\bar{z}, \underline{x}v\bar{y}vz, \underline{x}v\bar{y}v\bar{z}, \bar{x}v\bar{y} \}$ .



lemma: if search tree of size  $L$  then resolution proof of size  $L$ .

cor:  $\exists$  formulas that require  $2^{\Omega(n)}$  to solve with DPLL.

def tree-like resolution proof: res. proof st graph is a tree

$\rightarrow$  each vertex is a clause of proof  
edge between  $C$  and  $D$  if  $\rightarrow C$  is used to derive  $D$ .

$$\frac{C \quad E}{D}$$

proof is tree-like if each clause only used once.

How to avoid reusing work? with CDCL algorithm. ('99)

conflict-driven clause learning.

assignment database of learned clauses.

CDCL  $\alpha \quad \mathbb{D}$

while not solved:  $\rightarrow$  learn().

if conflict:  $\rightarrow$  backtrack.

if unit: force value.

o/w decision